

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: KAWAGUCHI, et al.

Serial No.: 09/939,599

Filed: August 28, 2001

For: LUBRICATING OIL FOR REFRIGERATOR WITH  
COMPRESSOR



SECOND SUPPLEMENTAL PRELIMINARY AMENDMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

January 4, 2002

Sir:

In response to the Notice to File Corrected Application Papers mailed  
December 7, 2001, please amend the above-identified application as follows:

IN THE SPECIFICATION

Please delete the original Specification as filed, including claims and  
Abstract, and substitute therefor the enclosed Substitute Specification, including  
claims and Abstract.

REMARKS


The requirement for a Substitute Specification in compliance with 37 CFR 1.52, set forth in the Notice mailed October 4, 2001, in connection with the above-identified application, is noted. Submitted herewith is a Substitute Specification, including claims and Abstract, having appropriate margins. In light of the filing of the enclosed Substitute Specification, it is respectfully submitted that the requirement for a Substitute Specification has been satisfied.

Entry of the enclosed Substitute Specification, including claims and Abstract, and examination of the above-identified application in due course, are respectfully requested.

To the extent necessary, Applicants petition for an extension of time under 37 CFR § 1.136. Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to the Deposit Account No. 01-2135 (Case No. 396.28283CP4) and please credit any excess fees to such Deposit Account.

Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP

  
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WIS/slk  
Enclosure



# UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/939,599	08/28/2001	Yasuhiro Kawaguchi	396.28283CP4

CONFIRMATION NO. 3278

## FORMALITIES LETTER



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020457  
ANTONELLI TERRY STOUT AND KRAUS  
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Date Mailed: 12/07/2001

## NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

### Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 12/04/2001 to the Notice to File Missing Parts (Notice) mailed 10/04/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
  - Papers contain improper margins. *Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")*

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE